



# Quality Assurance of Products developed outside Due Process

INTOSAI-Regions Coordination Platform

Oslo, June 2018



## Revised Due Process

- Quality assurance built into the project proposal
- Transparent and open public exposure
- Oversight and approval throughout the process by FIPP
- Assurance from the responsible Goal Chair to the GB that due process has been followed



## Outside Due Process

- The 70th INTOSAI Governing Board approved the Joint Paper on quality assuring public goods developed and published **outside due process**
- All new public goods published on or after 1 December 2017 to conform to these principles and carry quality assurance statement
- Goal chairs and IDI to oversee process for their own products
- Draft of certificate to be signed by the Goal chair and affixed on the document agreed to by the Goal Chairs.



**5 Elements of quality assurance system for public goods outside Due Process**

- Different levels of quality assurance for different public goods
- Will not cover products such as draft , blogs, postings etc.
- All public goods to be accompanied by a statement of quality assurance
- The statement might include either a revision or an expiry clause
- Apply to all public goods published on or after 1<sup>st</sup> Dec 2017



## Different levels of quality assurance for different goods

- Products subjected to quality assurance process ***equivalent*** to INTOSAI due process
- Products subjected to ***more limited*** quality assurance processes involving stakeholders from outside the body or WG responsible for product's initial development
- Products subjected to ***rigorous*** quality control measures within the body or working group responsible for their development

Quality Assurance Certificate of the Chair of the Working Group/Subcommittee

This is to certify that <<***name of the non-IFPP document***>> which is placed at level <<***level of QA according to the paper on Quality Assurance on Public goods developed outside the Due Process***>> of Quality Assurance as defined in the paper on “Quality Assurance on Public goods developed outside Due Process” approved by the INTOSAI Governing Board in November 2017 has been developed by following the Quality Assurance processes as detailed below:

<<***list of the key quality assurance processes followed in developing the document as (i).....(ii).....(iii).....>>***>>

- The product developed is consistent with relevant INTOSAI Principles and Standards. The structure of the product is in line with the drafting convention of non-IFPP documents.
- The product is valid till <<***last date till which the product will be valid***>> and if it is not reviewed and updated by <<***last date till which the product will be valid***>>, it will cease to be a public good of INTOSAI developed outside the Due Process.
- **[Signature of Chair(s) of the Working Group(s)/Subcommittee(s)/Workstream(s)]**
- **[Name of the INTOSAI Organ]**

# Quality Assurance Certificate of the Goal Chair

Based on the assurance provided by the Chair of the Working group on <<***name of the working group***>> and the assessment by the Goal Chair, it is certified that <<***name of the non-IFPP document***>> which is placed at level <<***level of QA according to the paper on Quality Assurance on Public goods developed outside the Due Process***>> of Quality Assurance as defined in the paper on “Quality Assurance on Public goods developed outside Due Process” approved by the INTOSAI Governing Board in November 2017 has been developed by following the Quality Assurance processes as detailed below:

- <<***list of the key quality assurance processes followed in developing the document as***>>
- ***(i).....***
- ***(ii).....***
- ***(iii).....>>***
- The product is valid till <<***last date till which the product will be valid***>> and if it is not reviewed and updated by <<***last date till which the product will be valid***>>, it will cease to be a public good of INTOSAI developed outside the Due Process.
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- **[Signature of the Goal Chair(s)]**
- **[Name of the Goal Committee]**



**For Consideration of  
the Regions**

- Should the Regions also adopt a similar practice in respect of documents produced by them?
- What should be the protocol for QA for GPGs developed jointly by Working Groups/sub-committees/Works streams and Regions?